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4-2-01  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	42390P10571
First Inventor	Blaise B. Fanning
Title	PREFETCH CANCELING BASED ON MOST RECENT ACCESSES
Express Mail Label No.	EL851137092US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- |  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>  |
| 3. <input checked="" type="checkbox"/> Specification<br><i>(preferred arrangement set forth below)</i>   | a. <input type="checkbox"/> Computer Readable Form (CRF)  |
| - Descriptive title of the Invention   | b. Specification Sequence Listing on:   |
| - Cross References to Related Applications   | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or   |
| - Statement Regarding Fed sponsored R & D  | ii. <input type="checkbox"/> paper  |
| - Reference to sequence listing, a table, or a computer program listing appendix   | c. <input type="checkbox"/> Statements verifying identity of above copies   |
| - Background of the Invention  |   |
| - Brief Summary of the Invention   |   |
| - Brief Description of the Drawings ( <i>if filed</i> )  |   |
| - Detailed Description   |   |
| - Claim(s)   |   |
| - Abstract of the Disclosure   |   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]   |   |
| 5. Oath or Declaration [Total Pages ]  |   |
| a. <input type="checkbox"/> Newly executed (original or copy)  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i>  | 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)  | 11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )  |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations               |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No:  | 13. <input type="checkbox"/> Preliminary Amendment  |
| Prior application Information: Examiner _____ Group/Art Unit: _____  |   |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |

## 18. CORRESPONDENCE ADDRESS



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Name (Print/Type)	Caroline T. Do	Registration No. (Attorney/Agent)	47,529
Signature	<i>Caroline T. Do</i>	Date	03/30/01

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)

890.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Blaise B. Fanning
Examiner Name	
Group/Art Unit	
Attorney Docket No.	42390P10571

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

- Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

- Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

- Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)
101	710	201	355 Utility filing fee
106	320	206	160 Design filing fee
107	490	207	245 Plant filing fee
108	710	208	355 Reissue filing fee
114	150	214	75 Provisional filing fee
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>710.00</b>

## 2. EXTRA CLAIM FEES

Total Claims	-	Extra Claims	Fee from below	=	
30	-	20**	10 X 18.00	=	\$180.00
3	-	3**	0 X 80.00	=	

Multiple Dependent

\*or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	(\\$)
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple Dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b> <b>180.00</b>

## 3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920*Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	
116	390	216 195 Extension for response within second month	
117	890	217 445 Extension for response within third month	
118	1,390	218 695 Extension for response within fourth month	
128	1,890	228 945 Extension for response within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid \_\_\_\_\_

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Caroline T. Do	Registration No. (Attorney/Agent)	47,529	Telephone	(714) 557-3800
Signature	<i>Caroline T. Do</i>			Date	03/30/01

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